SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*				uer Name and Ticke era Health Co	0	Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Donnini Da	avid						X	Director	10% C	Dwner		
(Last) 300 N. LASA	(First)	(Middle) F, SUITE 5600		te of Earliest Transa 0/2020	action (Month/	Day/Year)		Officer (give title below)	Other below	(specify)		
			4. If A	mendment, Date of	Original Filed	(Month/Day/Year)		vidual or Joint/Grou	p Filing (Check	Applicable		
(Street) CHICAGO	IL	60654					Line)	Form filed by On Form filed by Mo	1 0			
(City)	(State)	(Zip)						Person				
		Table I - No	n-Derivative S	Securities Acq	uired, Disp	oosed of, or Bene	ficially	Owned				
1. Title of Secur	ity (Instr. 3)		2. Transaction	2A. Deemed	3.	4. Securities Acquired (A	A) or	5. Amount of	6. Ownership	7. Nature		

	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction I Code (Instr. 5 8)						(D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock, \$0.01 par value per share ("Common Stock")	11/20/2020		A		5,869 ⁽¹⁾	A	\$0.00	5,869	D	

		Tal	ble II - Derivat (e.g., pı					iired, Disp options, c					d			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) of Dispo of (D)	f Expiration Date erivative ecurities cquired A) or isposed f (D) nstr. 3, 4		Expiration Date // (Month/Day/Year) 5 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		e Amount of		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares					

Explanation of Responses:

1. These securities consist of 5,869 Restricted Stock Units ("RSUs") that were granted on November 20, 2020, pursuant to the terms of an RSU agreement under the Sotera Health Company 2020 Omnibus Incentive Plan. Each RSU represents the Reporting Person's right to receive one share of Common Stock, subject to vesting conditions. The RSUs will vest in full on the date immediately prior to the Issuer's next regular annual shareholders meeting, subject to the Reporting Person's continued service as a non-employee director of the Issuer through such date.

Remarks:

The Power of Attorney for Mr. Donnini is filed as an exhibit to the Form 3 filed by Mr. Donnini with the Securities and Exchange Commission on November 20, 2020, which is hereby incorporated by reference.

<u>/s/ Jessica L.M.H. Epp, as</u>	
attorney in fact for David	<u>11/24/2020</u>
<u>Donnini</u>	

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).